

Form CHAR410 For new registrants only (Amending use CHAR410-A, Re-registering use CHAR410-R)	Registration Statement for Charitable Organizations New York State Department of Law (Office of the Attorney General) Charities Bureau - Registration Section 120 Broadway New York, NY 10271 www.charitiesnys.com/	Open to Public Inspection
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Part A - Identification of Registrant			
1. Full name of organization (exactly as it appears in your organizing document) The West 78th Street Museum Block Association		5. Fed. employer ID no. (EIN) 4 6 0 5 4 2 3 7 1	
2. c/o Name (if applicable) Kirkpatrick Macdonald		6. Organization's website TBD	
3. Mailing address (Number and street) 114 West 78th Street	Room/suite	7. Primary contact Kirkpatrick Macdonald	
City or town, state or country and ZIP+4 New York, NY 10024		Title Director	
4. Principal NYS address (Number and street) 114 West 78th Street	Room/suite	Phone 212-580-7990	Fax
City or town, state or country and ZIP+4 New York, NY 10024		Email kirk@macdonald.nyc	

Part B - Certification - Two Signatures Required				
We certify under penalties for perjury that we reviewed this Registration Statement, including all schedules and attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this statement.				
1. President or Authorized Officer/Trustee	<i>K Macdonald</i> Signature	KIRKPATRICK MACDONALD Printed Name	Director Title	4-26-16 Date
2. Chief Financial Officer or Treasurer	<i>Stuart Liebman</i> Signature	Stuart Liebman Printed Name	Director Title	4-26-16 Date

Part C - Fee Submitted		
If registering to solicit contributions, fee is \$25. If not registering to solicit contributions, no fee is owed.	Check <input checked="" type="checkbox"/> if you are submitting \$25 fee to register to solicit contributions.	Submit check or money order, payable to "NYS Department of Law."

Part D - Attachments - All Documents Required
Attach all of the following documents to this Registration Statement, even if you are claiming an exemption from registration: <ul style="list-style-type: none"> • Certificate of incorporation, trust agreement or other organizing document, and any amendments; and • Bylaws or other organizational rules, and any amendments; and • IRS Form 1023 or 1024 Application for Recognition of Exemption (if applicable); and • IRS tax exemption determination letter (if applicable)

Part E - Request for Registration Exemption
Is the organization requesting exemption from registration under either or both Article 7-A or the EPTL? <input type="checkbox"/> Yes* <input checked="" type="checkbox"/> No
* If "Yes", complete Schedule E.

Part F - Organization Structure

1. Incorporation / formation

<p>a. Type of organization:</p> <p>Corporation <input type="checkbox"/></p> <p>Limited liability company (LLC) <input type="checkbox"/></p> <p>Partnership <input type="checkbox"/></p> <p>Sole proprietorship <input type="checkbox"/></p> <p>Trust <input type="checkbox"/></p> <p>Unincorporated association <input checked="" type="checkbox"/></p> <p>Other * <input type="checkbox"/></p> <p>* If Other, describe:</p>	<p>b. Type of corporation if New York not-for-profit corporation</p> <p>A <input type="checkbox"/> B <input checked="" type="checkbox"/> C <input type="checkbox"/> D <input type="checkbox"/></p> <p>c. Date incorporated if a corporation or formed if other than a corporation</p> <p><u> 0 4 / 0 1 / 2 0 1 6 </u></p> <p>d. State in which incorporated or formed</p> <p>New York</p>
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2. List all chapters, branches and affiliates of your organization (attach additional sheets if necessary)

Name	Relationship	Mailing address (number and street, room/suite, City or town, state or country and zip+4)
N/A		

3. List all officers, directors, trustees and key employees

Name	Title	Mailing address (number and street, room/suite, city or town, state or country and zip+4)	End of term (if applicable)
Kirkpatrick Macdonald	Director	114 West 78th Street New York, NY 10024	__ / __ / ____
Stuart Liebman	Director	119 West 78th Street New York, NY 10024	__ / __ / ____
Gillian Mollod	Director	108 West 78th Street, #5 New York, NY 10024	__ / __ / ____
			__ / __ / ____
			__ / __ / ____
			__ / __ / ____
			__ / __ / ____
			__ / __ / ____

4. Other Names and Registration Numbers

a. List all other names used by your organization, including any prior names
N/A

b. List all prior New York State charities registration numbers for the organization, including those from the New York State Attorney General's Charities Bureau or the New York State Department of State's Office of Charities Registration
N/A

Part G - Organization Activities

1. Month the annual accounting period ends (01-12) 12	2. NTEE code S22
3. Date organization began doing each of following in New York State:	
a. conducting activity	0 3 / 2 8 / 2 0 1 6
b. maintaining assets	0 3 / 2 8 / 2 0 1 6
c. soliciting contributions (including from residents, foundations, corporations, government agencies, etc.)	TBD / ___ / _____
4. Describe the purposes of your organization See Attached.	
5. Has your organization or any of your officers, directors, trustees or key employees been:	
a. enjoined or otherwise prohibited by a government agency or court from soliciting contributions? <input type="checkbox"/> Yes* <input checked="" type="checkbox"/> No * If "Yes", describe:	
b. found to have engaged in unlawful practices in connection with the solicitation or administration of charitable assets? <input type="checkbox"/> Yes* <input checked="" type="checkbox"/> No * If "Yes", describe:	
6. Has your organization's registration or license been suspended by any government agency? <input type="checkbox"/> Yes* <input checked="" type="checkbox"/> No * If "Yes", describe:	
7. Does your organization solicit or intend to solicit contributions (including from residents, foundations, corporations, government agencies, etc.) in New York State? <input checked="" type="checkbox"/> Yes* <input type="checkbox"/> No * If "Yes", describe the purposes for which contributions are or will be solicited:	

8. List all fund raising professionals (FRP) that your organization has engaged for fund raising activity in NY State (attach additional sheets if necessary)			
Name	Type of FRP (see instructions for definitions)	Mailing address (number and street, room/suite, city or town, state or country and zip+4)	Dates of contract
N/A	PFR <input type="checkbox"/> FRC <input type="checkbox"/> CCV <input type="checkbox"/>	-----	Start date: ___ / ___ / ___ End date: ___ / ___ / ___
	PFR <input type="checkbox"/> FRC <input type="checkbox"/> CCV <input type="checkbox"/>	-----	Start date: ___ / ___ / ___ End date: ___ / ___ / ___
	PFR <input type="checkbox"/> FRC <input type="checkbox"/> CCV <input type="checkbox"/>	-----	Start date: ___ / ___ / ___ End date: ___ / ___ / ___

Part H - Federal Tax Exempt Status

1. If applicable, list the date your organization:	
a. applied for tax exempt status	0 4 / 0 1 / 2 0 1 6
b. was granted tax exempt status Pending	___ / ___ / _____
c. was denied tax exempt status	___ / ___ / _____
d. had its tax exempt status revoked	___ / ___ / _____
2. Provide Internal Revenue Code provision: 501(c)(<u>3</u>)	

4. Describe the purposes of your organization:

The Mission of The West 78th Street Museum Block Association shall be to preserve and enhance the community and aesthetic character of the Museum Block of West 78th Street. It shall promote effective communication and social activities among all residents and actively support maintaining and improving the appearance, safety and well-being of its trees, plantings and sidewalks.

The enhancement of the physical beauty of the block will continue as a central task of the Association. This include professional planning, planting and care for trees, flowers and sidewalks along the block, as well as maintaining an aesthetically harmonious neighborhood character.

The Association will set up and manage an interactive website whereon Members may access information concerning the neighborhood's interests. The website will be used to initiate and monitor block-wide activities, as well as provide information concerning regulations and events affecting the block within the Upper West Side community.

The Association will work closely in collaboration with Public School 87 on issues affecting its pupils and faculty in order that the school becomes an active participant in block affairs.

BYLAWS
of
THE WEST 78TH STREET MUSEUM BLOCK ASSOCIATION

**A NOT-FOR-PROFIT ASSOCIATION
FORMED UNDER THE LAWS OF
THE STATE OF NEW YORK**

ARTICLE I -- OBJECTIVES

The Association is organized exclusively for charitable purposes to fulfill its Objectives as stated in its Articles of Association.

No part of its net revenues shall inure to the benefit of its members or other private persons. It shall not carry on any propaganda activities nor otherwise attempt to influence legislation and shall not participate in any political campaign on behalf of any candidate for public office.

It shall only engage in activities permitted to an organization exempt from Federal Income Tax under section 501 (c) (3) of the Internal Revenue Code. Upon dissolution, its assets shall be distributed for one or more exempt purposes within the meaning of the Internal Revenue Code.

ARTICLE II -- MANAGEMENT, DIRECTORS and MEETINGS

The Association shall be managed by a board of directors consisting of the three founding directors initially selected by its pre-existing Executive Committee. Each director shall hold office until their replacement is selected or elected or they retire. The number of directors may be increased or decreased at any time by a vote of a majority of all of the directors. Any director may be removed for cause by action of the board.

The board may hold its meetings in person or electronically at any place or time as it may determine. A majority of the entire board shall constitute a quorum for the transaction of business. A vote of a majority of the directors present at the time of the vote shall be the act of the board.

Present members of the Association's preexisting Executive Committee, as created in the original Consitution of this Association dated 6 June 1972 and subsequently

enlarged, shall serve as members of the newly reconstituted Board. In the absence of a board meeting, acts of the Executive Committee shall be acts of the Board.

The board may appoint such officers as it may determine. Any two or more offices may be held by the same person, except the offices of president and secretary.

The Association shall hold harmless and indemnify its directors and officers against all expenses and liabilities reasonably incurred in connection with any proceeding to which he may be made a party, except in such cases wherein the director or officer is adjudicated guilty of willful malfeasance in the performance of his duties.

These Bylaws may be adopted, amended or repealed by an act of the board at any time.

ARTICLE IV -- MEMBERSHIP AND ELECTIONS

All persons owning or occupying property on the block are eligible to belong to the Association.

Meetings of the entire Association are intended to be sporadic and called on an as-needed basis in order to address specific issues of importance to Members. Affairs of the Association are informally managed unless a formal meeting is called and elections among members are held. Directors and officers are expected to remain active until they resign or are replaced.

These Bylaws are hereby adopted by the Association's initial Directors.

Kirkpatrick MacDonald

Stuart Liebman

Gillian Mollod



26 April 2016

26 April 2016

26 April 2016

MEMORANDUM & ARTICLES OF ASSOCIATION
FOR AN UNINCORPORATED NONPROFIT ASSOCIATION

THE WEST 78TH STREET MUSEUM BLOCK ASSOCIATION

1. **NAME** The West 78th Street Museum Block Association.
2. **OFFICE** The registered office of the Association is in New York City.
3. **OBJECTIVES** The Objectives of the Association shall be to preserve and enhance the community and aesthetic character of the Museum Block of West 78th Street. It shall promote effective communication and social activities among all residents and actively support, maintain and improve the appearance, safety and well-being of its trees, plantings and sidewalks.
4. **POWERS** The Association shall exercise the following powers and limitations:
 - 4.1 The Association is organized exclusively for charitable purposes, including the furtherance of its Objectives and making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code.
 - 4.2 No part of the net earnings of the Association shall inure to the benefit of or be distributable to its members, directors, officers, or other private persons.
 - 4.3 No part of the activities of the Association shall be carrying on of propaganda or otherwise attempting to influence legislation, nor participating in any political campaign or related activity.
 - 4.4 Notwithstanding any other provision of these articles, the Association shall only carry on activities permitted by an organization exempt from Federal Income Tax under section 501 (c)(3).
 - 4.5 Upon dissolution of the Association, its assets shall only be distributed to the New York City Parks Department for exempt purposes within the meaning of section 501(c)(3) of the Internal Revenue Code.
5. **BENEFITS TO MEMBERS AND DIRECTORS**
 - 5.1 The property and funds of the Association may be used only for promoting the Objectives and do not belong to the members of the Association.
 - 5.2 The Association shall indemnify any person who is a member, director or agent of the Association against expenses of Association-related litigation.

Resolved, this twentieth day of April, 2016, that we are hereby formed into an Unincorporated Association under this Memorandum of Association.

Kirkpatrick MacDonald
Stuart Liebman
Gillian Mollod





April 2016
April 2016
April 2016

**Streamlined Application for Recognition of Exemption
Under Section 501(c)(3) of the Internal Revenue Code**

Do not enter Social Security numbers on this form as it will be made public.
Information about Form 1023-EZ and its separate instructions is at www.irs.gov/form1023

Note: If exempt status is approved, this application will be open for public inspection.

Check this box to attest that you have completed the Form 1023-EZ Eligibility Worksheet in the current instructions, are eligible to apply for exemption using Form 1023-EZ, and have read and understand the requirements to be exempt under section 501(c)(3).

Part I Identification of Applicant

1a Full Name of Organization
THE WEST 78TH STREET MUSEUM BLOCK ASSOCIATION

b Mailing Address (number, street, and room/suite). If a P.O. box, see instructions. 114 WEST 78TH STREET	c City NEW YORK	d State NY	e Zip code + 4 10024-0000
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2 Employer Identification Number 46-0542371	3 Month Tax Year Ends (MM) 12	4 Person to Contact if More Information is Needed KIRKPATRICK MACDONALD
5 Contact Telephone Number 212-580-7990	6 Fax Number (optional)	7 User Fee Submitted \$400.00

8 List the names, titles, and mailing addresses of your officers, directors, and/or trustees. (If you have more than five, see instructions.)

First Name: KIRKPATRICK	Last Name: MACDONALD	Title: DIRECTOR
Street Address: 114 WEST 78TH STREET	City: NEW YORK	State: NY Zip code + 4: 10024-0000
First Name: STUART	Last Name: LIEBMAN	Title: DIRECTOR
Street Address: 119 WEST 78TH STREET	City: NEW YORK	State: NY Zip code + 4: 10024-0000
First Name: GILLIAN	Last Name: MOLLOD	Title: DIRECTOR
Street Address: 108 WEST 78TH STREET NO 5	City: NEW YORK	State: NY Zip code + 4: 10024-0000
First Name:	Last Name:	Title:
Street Address:	City:	State: Zip code + 4:
First Name:	Last Name:	Title:
Street Address:	City:	State: Zip code + 4:

9a Organization's Website (if available):

b Organization's Email (optional):

Part II Organizational Structure

- 1** To file this form, you must be a corporation, an unincorporated association, or a trust. Check the box for the type of organization.
 Corporation Unincorporated association Trust
- 2** Check this box to attest that you have the organizing document necessary for the organizational structure indicated above. (See the instructions for an explanation of necessary organizing documents.)
- 3** Date incorporated if a corporation, or formed if other than a corporation (MMDDYYYY): 04082016
- 4** State of Incorporation or other formation: New York
- 5** Section 501(c)(3) requires that your organizing document must limit your purposes to one or more exempt purposes within section 501(c)(3).
 Check this box to attest that your organizing document contains this limitation.
- 6** Section 501(c)(3) requires that your organizing document must not expressly empower you to engage, otherwise than as an insubstantial part of your activities, in activities that in themselves are not in furtherance of one or more exempt purposes.
 Check this box to attest that your organizing document does not expressly empower you to engage, otherwise than as an insubstantial part of your activities, in activities that in themselves are not in furtherance of one or more exempt purposes.
- 7** Section 501(c)(3) requires that your organizing document must provide that upon dissolution, your remaining assets be used exclusively for section 501(c)(3) exempt purposes. Depending on your entity type and the state in which you are formed, this requirement may be satisfied by operation of state law.
 Check this box to attest that your organizing document contains the dissolution provision required under section 501(c)(3) or that you do not need an express dissolution provision in your organizing document because you rely on the operation of state law in the state in which you are formed for your dissolution provision.

Part III Your Specific Activities

- 1 Enter the appropriate 3-character NTEE Code that best describes your activities (See the instructions): S22
- 2 To qualify for exemption as a section 501(c)(3) organization, you must be organized and operated exclusively to further one or more of the following purposes. By checking the box or boxes below, you attest that you are organized and operated exclusively to further the purposes indicated. **Check all that apply.**
- | | | |
|---|------------------------------------|---|
| <input checked="" type="checkbox"/> Charitable | <input type="checkbox"/> Religious | <input type="checkbox"/> Educational |
| <input type="checkbox"/> Scientific | <input type="checkbox"/> Literary | <input type="checkbox"/> Testing for public safety |
| <input type="checkbox"/> To foster national or international amateur sports competition | | <input type="checkbox"/> Prevention of cruelty to children or animals |
- 3 To qualify for exemption as a section 501(c)(3) organization, you must:
- Refrain from supporting or opposing candidates in political campaigns in any way.
 - Ensure that your net earnings do not inure in whole or in part to the benefit of private shareholders or individuals (that is, board members, officers, key management employees, or other insiders).
 - Not further non-exempt purposes (such as purposes that benefit private interests) more than insubstantially.
 - Not be organized or operated for the primary purpose of conducting a trade or business that is not related to your exempt purpose(s).
 - Not devote more than an insubstantial part of your activities attempting to influence legislation or, if you made a section 501(h) election, not normally make expenditures in excess of expenditure limitations outlined in section 501(h).
 - Not provide commercial-type insurance as a substantial part of your activities.
 - Check this box to attest that you have not conducted and will not conduct activities that violate these prohibitions and restrictions.
- 4 Do you or will you attempt to influence legislation? (If yes, consider filing Form 5768. See the Instructions for more details.) Yes No
- 5 Do you or will you pay compensation to any of your officers, directors, or trustees? (Refer to the instructions for a definition of compensation.) Yes No
- 6 Do you or will you donate funds to or pay expenses for individual(s)? Yes No
- 7 Do you or will you conduct activities or provide grants or other assistance to individual(s) or organization(s) outside the United States? Yes No
- 8 Do you or will you engage in financial transactions (for example, loans, payments, rents, etc.) with any of your officers, directors, or trustees, or any entities they own or control? Yes No
- 9 Do you or will you have unrelated business gross income of \$1,000 or more during a tax year? Yes No
- 10 Do you or will you operate bingo or other gaming activities? Yes No
- 11 Do you or will you provide disaster relief? Yes No

Part IV Foundation Classification

Part IV is designed to classify you as an organization that is either a private foundation or a public charity. Public charity status is a more favorable tax status than private foundation status.

- 1 If you qualify for public charity status, check the appropriate box (1a - 1c below) and skip to Part V below.
- a Check this box to attest that you normally receive at least one-third of your support from public sources or you normally receive at least 10 percent of your support from public sources and you have other characteristics of a publicly supported organization. Sections 509(a)(1) and 170(b)(1)(A)(vi).
- b Check this box to attest that you normally receive more than one-third of your support from a combination of gifts, grants, contributions, membership fees, and gross receipts (from permitted sources) from activities related to your exempt functions and normally receive not more than one-third of your support from investment income and unrelated business taxable income. Section 509(a)(2).
- c Check this box to attest that you are operated for the benefit of a college or university that is owned or operated by a governmental unit. Sections 509(a)(1) and 170(b)(1)(A)(iv).
- 2 If you are not described in items 1a - 1c above, you are a private foundation. As a private foundation, you are required by section 508(e) to have specific provisions in your organizing document, unless you rely on the operation of state law in the state in which you were formed to meet these requirements. These specific provisions require that you operate to avoid liability for private foundation excise taxes under sections 4941-4945.
- Check this box to attest that your organizing document contains the provisions required by section 508(e) or that your organizing document does not need to include the provisions required by section 508(e) because you rely on the operation of state law in your particular state to meet the requirements of section 508(e). (See the instructions for explanation of the section 508(e) requirements.)

Part V Reinstatement After Automatic Revocation

Complete this section only if you are applying for reinstatement of exemption after being automatically revoked for failure to file required annual returns or notices for three consecutive years, and you are applying for reinstatement under section 4 or 7 of Revenue Procedure 2014-11. (Check only one box.)

- 1 Check this box if you are seeking retroactive reinstatement under section 4 of Revenue Procedure 2014-11. By checking this box, you attest that you meet the specified requirements of section 4, that your failure to file was not intentional, and that you have put in place procedures to file required returns or notices in the future. (See the instructions for requirements.)
- 2 Check this box if you are seeking reinstatement under section 7 of Revenue Procedure 2014-11, effective the date you are filling this application.

Part VI Signature

I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization and that I have examined this application, and to the best of my knowledge it is true, correct, and complete.

KIRKPATRICK MACDONALD

(Type name of signer)

DIRECTOR

(Type title or authority of signer)

04262016

(Date)